

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re: WESTROCK ADVISORS, INC.

§ Case No. 11-12841 (JLG)

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Debtor(s)

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED
AND APPLICATION TO BE DISCHARGED (TDR)**

JOHN S. PEREIRA, chapter 7 trustee, submits this Final Account,
Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$684,539.85</u> (without deducting any secured claims)	Assets Exempt: <u>N/A</u>
Total Distribution to Claimants: <u>\$30,602.25</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$83,789.24</u>	

3) Total gross receipts of \$ 114,391.49 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$ 0.00 (see **Exhibit 2**), yielded net receipts of \$114,391.49 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from Exhibit 3)	\$0.00	\$22,184.74	\$0.00	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from Exhibit 4)	0.00	83,789.24	83,789.24	83,789.24
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from Exhibit 5)	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from Exhibit 6)	0.00	177,316.36	155,131.62	30,602.25
GENERAL UNSECURED CLAIMS (from Exhibit 7)	570,568.22	6,058,559.56	6,007,206.56	0.00
TOTAL DISBURSEMENTS	\$570,568.22	\$6,341,849.90	\$6,246,127.42	\$114,391.49

4) This case was originally filed under Chapter 7 on June 15, 2011.
The case was pending for 100 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 10/16/2019 By: /s/JOHN S. PEREIRA
Trustee

STATEMENT: This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO
FINAL ACCOUNT**

EXHIBIT 1 –GROSS RECEIPTS

DESCRIPTION	UNIFORM TRAN. CODE ¹	\$ AMOUNT RECEIVED
PROPRIETARY ACCOUNTS AT NFS	1129-000	105,576.24
FURNITURE & FIXTURES	1129-000	5,000.00
COMPUTER EQUIPMENT	1129-000	2,000.00
FINRA REFUND	1229-000	1,815.00
Interest Income	1270-000	0.25
TOTAL GROSS RECEIPTS		\$114,391.49

¹The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

EXHIBIT 2 –FUNDS PAID TO DEBTOR & THIRD PARTIES

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		
TOTAL FUNDS PAID TO DEBTOR AND THIRD PARTIES			\$0.00

EXHIBIT 3 –SECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
28S	ELM PLACE MANAGEMENT, L.L.C.	4110-000	N/A	22,184.74	0.00	0.00
TOTAL SECURED CLAIMS			\$0.00	\$22,184.74	\$0.00	\$0.00

EXHIBIT 4 –CHAPTER 7 ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee Compensation - JOHN S. PEREIRA	2100-000	N/A	8,969.57	8,969.57	8,969.57
Trustee Expenses - JOHN S. PEREIRA	2200-000	N/A	437.21	437.21	437.21
Attorney for Trustee Fees (Trustee Firm) - PEREIRA & SINISI, LLP	3110-000	N/A	6,983.50	6,983.50	6,983.50
Other - TROUTMAN SANDERS LLP	3210-000	N/A	46,081.50	46,081.50	46,081.50
Other - TROUTMAN SANDERS LLP	3220-000	N/A	35.79	35.79	35.79
Other - LEONARD HARRIS, CPA	3410-000	N/A	8,960.00	8,960.00	8,960.00
Other - LEONARD HARRIS, CPA	3420-000	N/A	40.88	40.88	40.88
Other - G.E.M. AUCTION CORP.	3711-000	N/A	500.00	500.00	500.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - JOHN S. PEREIRA	2300-000	N/A	5.24	5.24	5.24
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	167.47	167.47	167.47
Other - The Bank of New York Mellon	2600-000	N/A	256.83	256.83	256.83
Other - The Bank of New York Mellon	2600-000	N/A	232.96	232.96	232.96
Other - VERITEXT NEW YORK REPORTING CO.	2990-000	N/A	876.30	876.30	876.30
Other - Rabobank, N.A.	2600-000	N/A	151.72	151.72	151.72
Other - Rabobank, N.A.	2600-000	N/A	177.84	177.84	177.84
Other - Rabobank, N.A.	2600-000	N/A	150.68	150.68	150.68
Other - Rabobank, N.A.	2600-000	N/A	155.86	155.86	155.86
Other - Rabobank, N.A.	2600-000	N/A	171.74	171.74	171.74
Other - Rabobank, N.A.	2600-000	N/A	166.12	166.12	166.12

Other - Rabobank, N.A.	2600-000	N/A	149.82	149.82	149.82
Other - JOHN S. PEREIRA	2300-000	N/A	81.15	81.15	81.15
Other - Rabobank, N.A.	2600-000	N/A	176.33	176.33	176.33
Other - Rabobank, N.A.	2600-000	N/A	159.94	159.94	159.94
Other - Rabobank, N.A.	2600-000	N/A	154.38	154.38	154.38
Other - Rabobank, N.A.	2600-000	N/A	175.44	175.44	175.44
Other - Rabobank, N.A.	2600-000	N/A	148.61	148.61	148.61
Other - Rabobank, N.A.	2600-000	N/A	174.93	174.93	174.93
Other - Rabobank, N.A.	2600-000	N/A	164.06	164.06	164.06
Other - Rabobank, N.A.	2600-000	N/A	147.96	147.96	147.96
Other - Rabobank, N.A.	2600-000	N/A	153.04	153.04	153.04
Other - Rabobank, N.A.	2600-000	N/A	168.65	168.65	168.65
Other - Rabobank, N.A.	2600-000	N/A	157.85	157.85	157.85
Other - JOHN S. PEREIRA	2300-000	N/A	62.40	62.40	62.40
Other - Rabobank, N.A.	2600-000	N/A	152.32	152.32	152.32
Other - Rabobank, N.A.	2600-000	N/A	173.06	173.06	173.06
Other - Rabobank, N.A.	2600-000	N/A	151.83	151.83	151.83
Other - Rabobank, N.A.	2600-000	N/A	167.31	167.31	167.31
Other - Rabobank, N.A.	2600-000	N/A	161.83	161.83	161.83
Other - Rabobank, N.A.	2600-000	N/A	140.74	140.74	140.74
Other - Rabobank, N.A.	2600-000	N/A	177.00	177.00	177.00
Other - Rabobank, N.A.	2600-000	N/A	155.92	155.92	155.92
Other - Rabobank, N.A.	2600-000	N/A	145.32	145.32	145.32
Other - Rabobank, N.A.	2600-000	N/A	165.85	165.85	165.85
Other - Rabobank, N.A.	2600-000	N/A	155.25	155.25	155.25
Other - Rabobank, N.A.	2600-000	N/A	149.86	149.86	149.86
Other - Rabobank, N.A.	2600-000	N/A	165.13	165.13	165.13
Other - JOHN S. PEREIRA	2300-000	N/A	82.27	82.27	82.27
Other - Rabobank, N.A.	2600-000	N/A	159.70	159.70	159.70
Other - Rabobank, N.A.	2600-000	N/A	149.08	149.08	149.08
Other - Rabobank, N.A.	2600-000	N/A	164.29	164.29	164.29
Other - Rabobank, N.A.	2600-000	N/A	153.77	153.77	153.77
Other - Rabobank, N.A.	2600-000	N/A	148.43	148.43	148.43
Other - Rabobank, N.A.	2600-000	N/A	168.69	168.69	168.69

Other - Rabobank, N.A.	2600-000	N/A	147.60	147.60	147.60
Other - Rabobank, N.A.	2600-000	N/A	147.38	147.38	147.38
Other - Rabobank, N.A.	2600-000	N/A	167.50	167.50	167.50
Other - Rabobank, N.A.	2600-000	N/A	146.95	146.95	146.95
Other - Rabobank, N.A.	2600-000	N/A	146.74	146.74	146.74
Other - Rabobank, N.A.	2600-000	N/A	166.77	166.77	166.77
Other - Rabobank, N.A.	2600-000	N/A	146.31	146.31	146.31
Other - JOHN S. PEREIRA	2300-000	N/A	51.66	51.66	51.66
Other - Rabobank, N.A.	2600-000	N/A	166.26	166.26	166.26
Other - Rabobank, N.A.	2600-000	N/A	150.86	150.86	150.86
Other - Rabobank, N.A.	2600-000	N/A	145.59	145.59	145.59
Other - Rabobank, N.A.	2600-000	N/A	160.44	160.44	160.44
Other - Rabobank, N.A.	2600-000	N/A	150.18	150.18	150.18
Other - Rabobank, N.A.	2600-000	N/A	160.37	160.37	160.37
Other - Rabobank, N.A.	2600-000	N/A	140.13	140.13	140.13
Other - Rabobank, N.A.	2600-000	N/A	154.94	154.94	154.94
Other - Rabobank, N.A.	2600-000	N/A	139.73	139.73	139.73
Other - Rabobank, N.A.	2600-000	N/A	164.47	164.47	164.47
Other - JOHN S. PEREIRA	2300-000	N/A	43.01	43.01	43.01
Other - Rabobank, N.A.	2600-000	N/A	138.17	138.17	138.17
Other - NYS CORPORATION TAX	2820-000	N/A	72.00	72.00	72.00
Other - NYS CORPORATION TAX	2820-000	N/A	68.00	68.00	68.00
Other - NYS CORPORATION TAX	2820-000	N/A	66.00	66.00	66.00
Other - NYS CORPORATION TAX	2820-000	N/A	62.00	62.00	62.00
Other - NYS CORPORATION TAX	2820-000	N/A	58.00	58.00	58.00
Other - NYS CORPORATION TAX	2820-000	N/A	55.00	55.00	55.00
Other - NYS CORPORATION TAX	2820-000	N/A	50.00	50.00	50.00
Other - NYS CORPORATION TAX	2820-000	N/A	14.00	14.00	14.00
Other - NYS CORPORATION TAX	2820-000	N/A	14.00	14.00	14.00
Other - NYS CORPORATION TAX	2820-000	N/A	13.00	13.00	13.00
Other - NYS CORPORATION TAX	2820-000	N/A	13.00	13.00	13.00
Other - NYS CORPORATION TAX	2820-000	N/A	16.00	16.00	16.00
Other - NYS CORPORATION TAX	2820-000	N/A	16.00	16.00	16.00
Other - NYS CORPORATION TAX	2820-000	N/A	14.00	14.00	14.00

Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	72.00	72.00	72.00
Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	68.00	68.00	68.00
Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	66.00	66.00	66.00
Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	62.00	62.00	62.00
Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	58.00	58.00	58.00
Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	55.00	55.00	55.00
Other - NYC DEPARTMENT OF FINANCE	2820-000	N/A	50.00	50.00	50.00
Other - FEDEX	2990-000	N/A	8.68	8.68	8.68
Other - JOHN S. PEREIRA	2300-000	N/A	25.08	25.08	25.08
TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES		N/A	\$83,789.24	\$83,789.24	\$83,789.24

EXHIBIT 5 –PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES		N/A	\$0.00	\$0.00	\$0.00

EXHIBIT 6 –PRIORITY UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
10	STATE OF NEW YORK DEPARTMENT OF LABOR	5800-000	N/A	1,268.60	1,268.60	85.24
11	CONNECTICUT GENERAL LIFE INSURANCE COMPANY	5400-000	N/A	21,631.59	21,631.59	21,631.59
12	NYC DEPARTMENT OF FINANCE	5800-000	N/A	100,575.00	100,575.00	6,758.23
13	STATE OF NEW YORK DEPARTMENT OF LABOR	5800-000	N/A	31,466.42	31,466.42	2,114.42
18P	NYS DEPARTMENT OF TAXATION AND FINANCE	5800-000	N/A	190.01	190.01	12.77
28P	ELM PLACE MANAGEMENT, L.L.C.	5800-000	N/A	22,184.74	0.00	0.00
TOTAL PRIORITY UNSECURED CLAIMS			\$0.00	\$177,316.36	\$155,131.62	\$30,602.25

EXHIBIT 7 –GENERAL UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	WELLS FARGO FINANCIAL LEASING, INC.	7100-000	N/A	55,591.33	55,591.33	0.00
2	NYS DEPARTMENT OF LABOR	7100-000	N/A	N/A	0.00	0.00
3	CIT TECHNOLOGY FINANCING SERVICES, INC.	7100-000	4,576.69	11,693.40	11,693.40	0.00
4	CIT TECHNOLOGY FINANCING SERVICES, INC.	7100-000	N/A	10,358.29	10,358.29	0.00
5	CIT TECHNOLOGY FINANCING SERVICES, INC.	7100-000	N/A	3,199.64	3,199.64	0.00
6	FEDEX TECH CONNECT INC	7100-000	1,210.41	2,175.81	2,175.81	0.00
7	CANON FINANCIAL SERVICES, INC.	7100-000	13,090.04	189,415.12	189,415.12	0.00
8	CIT TECHNOLOGY FINANCING SERVICES, INC.	7100-000	N/A	29,996.91	29,996.91	0.00
9	LEO AND DOROTHY BRUSS, HUSBAND AND WIFE	7100-000	N/A	131,581.00	131,581.00	0.00
14	JOHN H. ALLEN	7100-000	N/A	29,353.00	0.00	0.00
15	KEITH N. BLACKMORE	7100-000	14,000.00	15,000.00	15,000.00	0.00
16	JOHN H. ALLEN	7100-000	N/A	29,353.00	29,353.00	0.00
17	MCDONALD INFORMATION SERVICE, INC.	7100-000	117.73	125.85	125.85	0.00
18U	NYS DEPARTMENT OF TAXATION AND FINANCE	7100-000	N/A	6,267.68	6,267.68	0.00
19	W2007 MONDAY 230 PARK OWNER, LLC	7100-000	137,323.15	860,174.51	860,174.51	0.00
20	Garland James, Joel Smith, Gary James and others	7100-000	N/A	4,357,800.00	4,357,800.00	0.00
21	BRADLEY AMUNDSON	7100-000	N/A	45,107.26	45,107.26	0.00
22	KENNETH AHRENS	7100-000	N/A	56,384.08	56,384.08	0.00
23	ROBERT RASTBERGER	7100-000	N/A	22,553.63	22,553.63	0.00
24	MARK SALVIA	7100-000	N/A	22,553.63	22,553.63	0.00
25	TIMOTHY MCINTYRE	7100-000	N/A	112,768.16	112,768.16	0.00
26	PERRY DICLEMENTE	7100-000	N/A	45,107.26	45,107.26	0.00
27	ELM PLACE MANAGEMENT, L.L.C.	7200-000	N/A	22,000.00	0.00	0.00
NOTFILED	Fire Solutions	7100-000	2,475.00	N/A	N/A	0.00
NOTFILED	FINRA	7100-000	71,973.43	N/A	N/A	0.00
NOTFILED	Federal Express - LI	7100-000	837.13	N/A	N/A	0.00
NOTFILED	Fidelity Inv. Instit. Oper.Co.	7100-000	1,415.00	N/A	N/A	0.00
NOTFILED	Grand Metro Building Co., LLC	7100-000	25,466.64	N/A	N/A	0.00
NOTFILED	IT Technologies	7100-000	525.20	N/A	N/A	0.00
NOTFILED	Gregory Martino	7100-000	2,220.26	N/A	N/A	0.00

NOTFILED	Lazare Potter & Giacovas LLP	7100-000	78,732.18	N/A	N/A	0.00
NOTFILED	JRS Financial Services	7100-000	2,500.00	N/A	N/A	0.00
NOTFILED	M.I. Grossman & Company	7100-000	11,860.00	N/A	N/A	0.00
NOTFILED	GRM Information Mgmt Ser.	7100-000	217.52	N/A	N/A	0.00
NOTFILED	Marlin Leasing	7100-000	207.95	N/A	N/A	0.00
NOTFILED	Peter Luger Steakhouse	7100-000	52.10	N/A	N/A	0.00
NOTFILED	Time Warner Cable-NYC	7100-000	1,218.04	N/A	N/A	0.00
NOTFILED	Thomas Reuters	7100-000	1,783.38	N/A	N/A	0.00
NOTFILED	Time Warner Cable	7100-000	1,152.39	N/A	N/A	0.00
NOTFILED	Urban Express	7100-000	50.40	N/A	N/A	0.00
NOTFILED	Vierzon Wireless	7100-000	1,321.44	N/A	N/A	0.00
NOTFILED	Verizon	7100-000	418.21	N/A	N/A	0.00
NOTFILED	W.B. Mason - East Meadow	7100-000	1,383.57	N/A	N/A	0.00
NOTFILED	Verizon - Amityville	7100-000	419.29	N/A	N/A	0.00
NOTFILED	W.B. Mason - NYC	7100-000	3,141.79	N/A	N/A	0.00
NOTFILED	The Nasdaq Stock Market, LLC	7100-000	8,882.64	N/A	N/A	0.00
NOTFILED	The Chase Bank	7100-000	1,662.84	N/A	N/A	0.00
NOTFILED	The Hartford	7100-000	5,041.80	N/A	N/A	0.00
NOTFILED	Exxell Associates	7100-000	27,500.00	N/A	N/A	0.00
NOTFILED	One Communications	7100-000	1,973.78	N/A	N/A	0.00
NOTFILED	PaeTec Communications, Inc.-LI	7100-000	2,441.51	N/A	N/A	0.00
NOTFILED	Pitney Bowes Global Fin. Serv.	7100-000	765.33	N/A	N/A	0.00
NOTFILED	SCS Printing & Office Products	7100-000	450.01	N/A	N/A	0.00
NOTFILED	Purchase Power	7100-000	2,099.65	N/A	N/A	0.00
NOTFILED	T-Mobile - Bankruptcy Department	7100-000	379.46	N/A	N/A	0.00
NOTFILED	Securities Ind. & Fin.Mkts.Ass	7100-000	6,716.00	N/A	N/A	0.00
NOTFILED	Sobel & Co., LLC	7100-000	16,500.00	N/A	N/A	0.00
NOTFILED	Nationwide Printing Company	7100-000	714.74	N/A	N/A	0.00
NOTFILED	Canon Business Solutions	7100-000	527.16	N/A	N/A	0.00
NOTFILED	Charles M. O'Rourke	7100-000	2,500.00	N/A	N/A	0.00
NOTFILED	Canon Business Solutions-East	7100-000	43.11	N/A	N/A	0.00
NOTFILED	CIGNA HealthCare	7100-000	34,187.98	N/A	N/A	0.00
NOTFILED	Corporate Coffee Systems	7100-000	407.15	N/A	N/A	0.00
NOTFILED	Citrin Cooperman & Co., LLP	7100-000	5,245.00	N/A	N/A	0.00

NOTFILED	East Coast Executive	7100-000	109.26	N/A	N/A	0.00
NOTFILED	Deer Park	7100-000	84.30	N/A	N/A	0.00
NOTFILED	Cablevision-Long Island	7100-000	58.06	N/A	N/A	0.00
NOTFILED	Broadview Networks	7100-000	30,367.26	N/A	N/A	0.00
NOTFILED	eFax Corporate	7100-000	127.05	N/A	N/A	0.00
NOTFILED	Brickman & Bamberger	7100-000	41,889.50	N/A	N/A	0.00
NOTFILED	AEW Cleaning, LLC	7100-000	205.69	N/A	N/A	0.00
TOTAL GENERAL UNSECURED CLAIMS			\$570,568.22	\$6,058,559.56	\$6,007,206.56	\$0.00

Form 1

Individual Estate Property Record and Report

Asset Cases

Case Number: 11-12841 (JLG)

Trustee: (521200) JOHN S. PEREIRA

Case Name: WESTROCK ADVISORS, INC.

Filed (f) or Converted (c): 06/15/11 (f)

§341(a) Meeting Date: 07/13/11

Period Ending: 10/16/19

Claims Bar Date: 06/18/13

1 Ref. #	Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1	BANK ACCOUNTS Orig. Asset Memo: Chase Payroll;	600.85	0.00		0.00	FA
2	PROPRIETARY ACCOUNTS AT NFS Orig. Asset Memo: Imported from original petition Doc# 7	43,309.56	0.00		105,576.24	FA
3	CASH ADVANCES AND DEPOSITS Orig. Asset Memo: Imported from original petition Doc# 7	64,382.39	0.00		0.00	FA
4	PREPAID INSURANCE/WORKER COMP. Orig. Asset Memo: Imported from original petition Doc# 7	5,041.80	0.00		0.00	FA
5	PREPAID EXPENSES - MISC Orig. Asset Memo: Imported from original petition Doc# 7	33,677.82	0.00		0.00	FA
6	RECEIVABLE FROM WESTROCK GROUP Orig. Asset Memo: Imported from original petition Doc# 7	147,742.42	0.00		0.00	FA
7	PROMISSORY NOTE - ED TAYLOR Orig. Asset Memo: Imported from original petition Doc# 7	19,750.00	0.00		0.00	FA
8	EMPLOYEE COMM. RECEIVABLE Orig. Asset Memo: Imported from original petition Doc# 7	21,527.73	0.00		0.00	FA
9	EMPLOYEE ADVANCES Orig. Asset Memo: Imported from original petition Doc# 7	2,770.50	0.00		0.00	FA
10	EMPLOYEE LOANS Orig. Asset Memo: Imported from original petition Doc# 7	10,000.00	0.00		0.00	FA
11	FURNITURE & FIXTURES Orig. Asset Memo: Imported from original petition Doc# 7	6,005.58	0.00		5,000.00	FA
12	COMPUTER EQUIPMENT Orig. Asset Memo: Imported from original petition	5,794.67	0.00		2,000.00	FA

Form 1

Individual Estate Property Record and Report

Asset Cases

Case Number: 11-12841 (JLG)

Trustee: (521200) JOHN S. PEREIRA

Case Name: WESTROCK ADVISORS, INC.

Filed (f) or Converted (c): 06/15/11 (f)

§341(a) Meeting Date: 07/13/11

Period Ending: 10/16/19

Claims Bar Date: 06/18/13

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Doc# 7					
13 MACHINERY & EQUIPMENT Orig. Asset Memo: Imported from original petition Doc# 7	3,293.75	0.00		0.00	FA
14 TD/SD ADJUSTMENT Orig. Asset Memo: Imported from original petition Doc# 7	11,859.54	0.00		0.00	FA
15 INVENTORY LONG (PROPRIETARY POSITIONS) Orig. Asset Memo: Imported from original petition Doc# 7	359,548.26	0.00		0.00	FA
16 PAYROLL EXCHANGE Orig. Asset Memo: Imported from original petition Doc# 7	4,344.79	0.00		0.00	FA
17 FINRA REFUND (u)	1,815.00	0.00		1,815.00	FA
Int INTEREST (u)	Unknown	N/A		0.25	FA
18 Assets Totals (Excluding unknown values)	\$741,464.66	\$0.00		\$114,391.49	\$0.00

Major Activities Affecting Case Closing:

Retained Special Counsel; funds in proprietary accounts turned over; related cases filed into Chapter 7; JSP trustee.

1-10-16 Claims to be examined and determination made as to whether to sever cases and file no asset reports where appropriate.

5/27/16 time records for atty and trustee completed.

One of four related cases jointly administered. Some of which may be severed and closed as no asset cases. The estate in Monarch consists of the funds in the Clearing firm Deposit Account.

Westrock

On June 15, 2011, Westrock Advisers ("Advisers"), a former broker-dealer, filed a voluntary Chapter 7 petition, and John S. Pereira (the "Trustee") was appointed as Trustee immediately thereafter.

Advisers had operated out of leased office space located at 201 Old Country Road, Melville, New York 11747, but had vacated these premises prior to filing its petition. The Trustee, after conferring with the landlord of this property, agreed to reject this lease and turn the premises over to the landlord immediately, in exchange for the landlord's waiver of its claim for prepetition and post-petition arrearages. The Court approved this rejection on the Trustee's application.

The Trustee retained, with the Court's approval, G.E.M. Auction Company ("G.E.M.") to inventory and appraise the personal property located at the Melville location. As a result of G.E.M.'s appraisal, the Trustee agreed to accept the landlord's offer to purchase the property for \$5,000 at a private sale, which was approved by the Court on the Trustee's application.

Advisers operated its business as an "introducing" broker whose customers' accounts were held by an affiliate of Fidelity Investments ("Fidelity"), and, accordingly,

Form 1

Individual Estate Property Record and Report

Asset Cases

Case Number: 11-12841 (JLG)

Trustee: (521200) JOHN S. PEREIRA

Case Name: WESTROCK ADVISORS, INC.

Filed (f) or Converted (c): 06/15/11 (f)

§341(a) Meeting Date: 07/13/11

Period Ending: 10/16/19

Claims Bar Date: 06/18/13

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Abandoned OA=\$554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					

securities in Advisers' customer accounts were returned to the customers prior to Advisers' bankruptcy. However, the Debtors' schedules indicated that Advisers also had maintained "proprietary" accounts at Fidelity which held securities that belonged to Advisers, rather than its customers. The Trustee was able to locate these accounts; send "turnover" demands to Fidelity, and, after pursuing this matter with Fidelity, ultimately recovered \$105,576.24 for the estate.

At the Trustee's request, the clerk issued a Notice of Possible Dividends fixing June 18, 2013, as the last date for filing proofs of claim. By that date, 26 claims, totaling approximately \$6.2 million were filed.

Prior to the two-year deadlines imposed by the Code, the Trustee, through counsel, conducted a review to determine whether any "avoidance" actions, or actions subject to a "Section 108" extension, should be commenced. It was determined that no action was worth pursuing, with one potential exception - Advisers' schedules reflected a receivable of \$147,742.42 due from its corporate parent, Westrock Group, Inc. ("Group"), thus suggesting that Advisers may have improperly "upstreamed" cash, without consideration, prior to its bankruptcy filing. However, prior to the initiation of any avoidance action, Group and two other affiliates of Advisers, filed bankruptcy petitions, so this receivable will be resolved as an intercompany claim in the related bankruptcies.

(b) LBC

As indicated above, on September 6, 2012, Westrock Group, the parent company of Advisers, filed a voluntary Chapter 7 petition. On the same day, two other related entities filed their own Chapter 7 petitions: Monarch Financial Corporation of America, Inc. ("Monarch") is another former broker-dealer that was also wholly-owned by Group, and LBC Western, Inc. ("LBC") is a company that, some years prior to the bankruptcy filings, purchased all of the common stock of Group from its prior owners, and thereby became the parent of Group, and the indirect parent of Advisers and Monarch. Because these cases are related to Advisers, the Trustee was appointed as their Trustee as well, and the cases are being jointly administered.

In connection with the sale of Group's common stock to LBC, Group's CEO, Gregory Martino ("Martino") entered into an agreement with Group, pursuant to which Group agreed to purchase, or locate third parties to purchase, Martino's common stock in Group. Martino received a total of approximately \$2.6 million to purchase most of his stock, and to the extent that these funds came from Group (or one of its affiliates) the Trustee may have claims against Martino to recover these funds as fraudulent transfers or unlawful stock redemptions. The Trustee, through his counsel, is in the process of obtaining bank records and closing documents to determine the source of these payments to Martino. At this point, it appears that at least \$1.5 million of the total Martino received for his stock was received from unaffiliated third-parties.

Group's books also reflect that Martino owes Group \$350,000 on a loan. The Trustee is investigating the basis for this potential claim against Martino, as well as whether Martino is entitled to any offset against it based on an arbitration award in the amount of \$765,000 which Martino obtained (but apparently did not have confirmed) prior to the bankruptcy.

Lease rejected; equipment sold., proprietary account turned over.

On June 15, 2011, Westrock Advisers ("Advisers"), a former broker-dealer, filed a voluntary Chapter 7 petition, and John S. Pereira (the "Trustee") was appointed as Trustee immediately thereafter.

Advisers had operated out of leased office space located at 201 Old Country Road, Melville, New York 11747, but had vacated these premises prior to filing its petition. The Trustee, after conferring with the landlord of this property, agreed to reject this lease and turn the premises over to the landlord immediately, in exchange for the landlord's waiver of its claim for prepetition and post-petition arrearages. The Court approved this rejection on the Trustee's application.

The Trustee retained, with the Court's approval, G.E.M. Auction Company ("G.E.M.") to inventory and appraise the personal property located at the Melville location. As a result of G.E.M.'s appraisal, the Trustee agreed to accept the landlord's offer to purchase the property for \$5,000 at a private sale, which was approved by the Court on the Trustee's application.

Advisers operated its business as an "introducing" broker whose customers' accounts were held by an affiliate of Fidelity Investments ("Fidelity"), and, accordingly, securities in Advisers' customer accounts were returned to the customers prior to Advisers' bankruptcy. However, the Debtors' schedules indicated that Advisers also had maintained "proprietary" accounts at Fidelity which held securities that belonged to Advisers, rather than its customers. The Trustee was able to locate these

Form 1

Individual Estate Property Record and Report

Asset Cases

Case Number: 11-12841 (JLG)

Trustee: (521200) JOHN S. PEREIRA

Case Name: WESTROCK ADVISORS, INC.

Filed (f) or Converted (c): 06/15/11 (f)

§341(a) Meeting Date: 07/13/11

Period Ending: 10/16/19

Claims Bar Date: 06/18/13

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property <u>Abandoned</u> OA=\$554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					

accounts; send "turnover" demands to Fidelity, and, after pursuing this matter with Fidelity, ultimately recovered \$105,576.24 for the estate.

Prior to the two-year deadlines imposed by the Code, the Trustee, through counsel, conducted a review to determine whether any "avoidance" actions, or actions subject to a "Section 108" extension, should be commenced. It was determined that no action was worth pursuing, with one potential exception - Advisers' schedules reflected a receivable of \$147,742.42 due from its corporate parent, Westrock Group, Inc. ("Group"), thus suggesting that Advisers may have improperly "upstreamed" cash, without consideration, prior to its bankruptcy filing. However, prior to the initiation of any avoidance action, Group and two other affiliates of Advisers, filed bankruptcy petitions. The Trustee filed a proof of claim in Group, but to date no assets were recovered.

(b) LBC

As indicated above, on September 6, 2012, Westrock Group, the parent company of Advisers, filed a voluntary Chapter 7 petition. On the same day, two other related entities filed their own Chapter 7 petitions: Monarch Financial Corporation of America, Inc. ("Monarch") is another former broker-dealer that was also wholly-owned by Group, and LBC Western, Inc. ("LBC") is a company that, some years prior to the bankruptcy filings, purchased all of the common stock of Group from its prior owners, and thereby became the parent of Group, and the indirect parent of Advisers and Monarch. Because these cases are related to Advisers, the Trustee was appointed as their Trustee as well, and the cases are being jointly administered.

The trustee has now investigated the three remaining potential loan claims against former officers and employees. One of the loans was repaid, one was released in a settlement, and the third was disputed, and not adequately documented on the Debtor's books and records, so no further recovery efforts are appropriate. The Trustee is also now satisfied that all accounts held by the Debtor with banks and other financial institutions have been located and recovered. Currently, claims in all of the related cases are being examined and a determination will be made as to whether it is in the best interest of creditors to sever the cases and file no asset reports in any of the cases which has no funds. Accountant retained to prepare fiduciary tax returns. As of December 6, 2017, claims have been examined and Claims #27 and #28 filed in Westrock Advisors, Inc. will be objected. Once the claims issues are resolved, two of the cases may be closed as no asset cases, and final reports for the remaining two will be filed. As of 6/18, final reports being prepared.

Initial Projected Date Of Final Report (TFR): July 31, 2012

Current Projected Date Of Final Report (TFR): August 8, 2018 (Actual)

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)

Case Name: WESTROCK ADVISORS, INC.

Taxpayer ID #: **-***0587

Period Ending: 10/16/19

Trustee: JOHN S. PEREIRA (521200)

Bank Name: The Bank of New York Mellon

Account: ****-*****62 - - Checking Account

Blanket Bond: \$58,367,734.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/29/11	{11}	Grand Metro Building Company, LLC	Good faith deposit toward purchase of debtor's personal property.	1129-000	1,750.00		1,750.00
08/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.01		1,750.01
09/12/11	{12}	Grand Metro Building Company, LLC	Purchase of Trustee's interest in Debtor's property consisting of computers	1129-000	2,000.00		3,750.01
09/12/11	{11}	Grand Metro Building Company, LLC	Purchase of Trustee's interest of Debtor's property consisting of furniture and equipment	1129-000	3,250.00		7,000.01
09/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.04		7,000.05
10/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.05		7,000.10
10/31/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	6,975.10
11/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.05		6,975.15
11/30/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	6,950.15
12/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.05		6,950.20
12/30/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	6,925.20
01/31/12	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.05		6,925.25
01/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	6,900.25
02/29/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	6,875.25
03/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	6,850.25
04/20/12	{17}	FINRA	Refund	1229-000	1,815.00		8,665.25
04/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,640.25
05/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,615.25
06/22/12	1001	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/22/2012 FOR CASE #11-12841	2300-000		5.24	8,610.01
06/29/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,585.01
07/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,560.01
08/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,535.01
09/04/12	{2}	National Financial Services LLC	Turnover of proprietary account	1129-000	74,813.21		83,348.22
09/11/12	{2}	National Financial Services LLC	Turnover of in proprietary account	1129-000	30,720.55		114,068.77
09/11/12	{2}	National Finance Services LLC	turnover of in proprietary account	1129-000	42.48		114,111.25
09/28/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		167.47	113,943.78
10/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		256.83	113,686.95
11/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		232.96	113,453.99
12/11/12	1002	VERITEXT NEW YORK REPORTING CO.	INVOICE #: NY1613485 / JOB/CONF NO #: 1556677 / FOR SERVICES RENDERED - TRANSCRIPT OF 341 MEETING HELD ON 11/7/12	2990-000		876.30	112,577.69

Subtotals :

\$114,391.49

\$1,813.80

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)
Case Name: WESTROCK ADVISORS, INC.

Trustee: JOHN S. PEREIRA (521200)
Bank Name: The Bank of New York Mellon
Account: ****_*****62 - - Checking Account
Blanket Bond: \$58,367,734.00 (per case limit)
Separate Bond: N/A

Taxpayer ID #: **_***0587
Period Ending: 10/16/19

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/13/12		RABOBANK MIGRATION TRANSFER OUT	TRANSFER TO 0001052120088 20121213	9999-000		112,577.69	0.00

ACCOUNT TOTALS	114,391.49	114,391.49	\$0.00
Less: Bank Transfers	0.00	112,577.69	
Subtotal	114,391.49	1,813.80	
Less: Payments to Debtors		0.00	
NET Receipts / Disbursements	\$114,391.49	\$1,813.80	

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)
Case Name: WESTROCK ADVISORS, INC.

Trustee: JOHN S. PEREIRA (521200)
Bank Name: Rabobank, N.A.
Account: *****9566 - Checking Account
Blanket Bond: \$58,367,734.00 (per case limit)
Separate Bond: N/A

Taxpayer ID #: **-***0587

Period Ending: 10/16/19

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/14/12		RABOBANK MIGRATION TRANSFER IN	RABOBANK MIGRATION	9999-000	112,577.69		112,577.69
12/31/12		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		151.72	112,425.97
01/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		177.84	112,248.13
02/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		150.68	112,097.45
03/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		155.86	111,941.59
04/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		171.74	111,769.85
05/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		166.12	111,603.73
06/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		149.82	111,453.91
07/31/13	11002	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 07/31/2013 FOR CASE #11-12841	2300-000		81.15	111,372.76
07/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		176.33	111,196.43
08/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		159.94	111,036.49
09/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		154.38	110,882.11
10/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		175.44	110,706.67
11/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		148.61	110,558.06
12/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		174.93	110,383.13
01/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		164.06	110,219.07
02/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		147.96	110,071.11
03/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		153.04	109,918.07
04/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		168.65	109,749.42
05/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		157.85	109,591.57
06/11/14	11003	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/11/2014 FOR CASE #11-12841	2300-000		62.40	109,529.17
06/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		152.32	109,376.85
07/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		173.06	109,203.79
08/29/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		151.83	109,051.96
09/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		167.31	108,884.65
10/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		161.83	108,722.82
11/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		140.74	108,582.08
12/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		177.00	108,405.08
01/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		155.92	108,249.16
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		145.32	108,103.84
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		165.85	107,937.99
04/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		155.25	107,782.74

Subtotals : \$112,577.69 \$4,794.95

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)
Case Name: WESTROCK ADVISORS, INC.

Trustee: JOHN S. PEREIRA (521200)
Bank Name: Rabobank, N.A.
Account: *****9566 - Checking Account
Blanket Bond: \$58,367,734.00 (per case limit)
Separate Bond: N/A

Taxpayer ID #: **-***0587

Period Ending: 10/16/19

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
05/29/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		149.86	107,632.88
06/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		165.13	107,467.75
07/22/15	11004	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 07/22/2015 FOR CASE #11-12841	2300-000		82.27	107,385.48
07/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		159.70	107,225.78
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		149.08	107,076.70
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		164.29	106,912.41
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		153.77	106,758.64
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		148.43	106,610.21
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		168.69	106,441.52
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		147.60	106,293.92
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		147.38	106,146.54
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		167.50	105,979.04
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		146.95	105,832.09
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		146.74	105,685.35
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		166.77	105,518.58
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		146.31	105,372.27
08/22/16	11005	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 08/22/2016 FOR CASE #11-12841	2300-000		51.66	105,320.61
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		166.26	105,154.35
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		150.86	105,003.49
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		145.59	104,857.90
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		160.44	104,697.46
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		150.18	104,547.28
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		160.37	104,386.91
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		140.13	104,246.78
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		154.94	104,091.84
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		139.73	103,952.11
05/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		164.47	103,787.64
06/19/17	11006	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/19/2017 FOR CASE #11-12841	2300-000		43.01	103,744.63
06/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		149.26	103,595.37
07/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		144.04	103,451.33
08/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		163.69	103,287.64

Subtotals : \$0.00 \$4,495.10

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)
Case Name: WESTROCK ADVISORS, INC.

Trustee: JOHN S. PEREIRA (521200)
Bank Name: Rabobank, N.A.
Account: *****9566 - Checking Account
Blanket Bond: \$58,367,734.00 (per case limit)
Separate Bond: N/A

Taxpayer ID #: **-***0587

Period Ending: 10/16/19

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
09/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		143.61	103,144.03
10/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		158.24	102,985.79
11/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		148.12	102,837.67
12/27/17	11007	NYS CORPORATION TAX	13-3850587 / 2011 CT-3A	2820-000		72.00	102,765.67
12/27/17	11008	NYS CORPORATION TAX	13-3850587 / 2012 CT-3A	2820-000		68.00	102,697.67
12/27/17	11009	NYS CORPORATION TAX	13-3850587 / 2013 CT-3A	2820-000		66.00	102,631.67
12/27/17	11010	NYS CORPORATION TAX	13-3850587 / 2014 CT-3A	2820-000		62.00	102,569.67
12/27/17	11011	NYS CORPORATION TAX	13-3850587 / 2015 CT-3A	2820-000		58.00	102,511.67
12/27/17	11012	NYS CORPORATION TAX	13-3850587 / 2016 CT-3A	2820-000		55.00	102,456.67
12/27/17	11013	NYS CORPORATION TAX	13-3850587 / CT-3A 2017-2018	2820-000		50.00	102,406.67
12/27/17	11014	NYS CORPORATION TAX	13-3850587 / 2011 CT-3M	2820-000		14.00	102,392.67
12/27/17	11015	NYS CORPORATION TAX	13-3850587 / 2012 CT-3M	2820-000		14.00	102,378.67
12/27/17	11016	NYS CORPORATION TAX	13-3850587 / 2013 CT-3M	2820-000		13.00	102,365.67
12/27/17	11017	NYS CORPORATION TAX	13-3850587 / 2014 CT-3M	2820-000		13.00	102,352.67
12/27/17	11018	NYS CORPORATION TAX	13-3850587 / 2015 CT-3M	2820-000		16.00	102,336.67
12/27/17	11019	NYS CORPORATION TAX	13-3850587 / 2016 CT-3M	2820-000		16.00	102,320.67
12/27/17	11020	NYS CORPORATION TAX	13-3850587 / CT-3M 2017-2018	2820-000		14.00	102,306.67
12/27/17	11021	NYC DEPARTMENT OF FINANCE	13-3850587 / 2011 NYC-3A	2820-000		72.00	102,234.67
12/27/17	11022	NYC DEPARTMENT OF FINANCE	13-3850587 / 2012 NYC-3A	2820-000		68.00	102,166.67
12/27/17	11023	NYC DEPARTMENT OF FINANCE	13-3850587 / 2013 NYC-3A	2820-000		66.00	102,100.67
12/27/17	11024	NYC DEPARTMENT OF FINANCE	13-3850587 / 2014 NYC-3A	2820-000		62.00	102,038.67
12/27/17	11025	NYC DEPARTMENT OF FINANCE	13-3850587 / 2015 NYC-3A	2820-000		58.00	101,980.67
12/27/17	11026	NYC DEPARTMENT OF FINANCE	13-3850587 / 2016 NYC-3A	2820-000		55.00	101,925.67
12/27/17	11027	NYC DEPARTMENT OF FINANCE	13-3850587 / NYC-3A 2017-2018	2820-000		50.00	101,875.67
12/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		142.98	101,732.69
01/10/18	11028	FEDEX	ACCOUNT NO.: 1159-6713-4 / INVOICE NO.: 6-043-14926 / OVERNIGHT DELIVERY OF TAX RETURNS TO ACCOUNTANT	2990-000		8.68	101,724.01
01/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		162.47	101,561.54
02/28/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		137.63	101,423.91
03/30/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		146.91	101,277.00
04/30/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		140.81	101,136.19
05/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		160.02	100,976.17
06/29/18	11029	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/29/2018 FOR CASE #11-12841	2300-000		25.08	100,951.09
06/29/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		140.39	100,810.70

Subtotals : \$0.00 \$2,476.94

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)
Case Name: WESTROCK ADVISORS, INC.

Trustee: JOHN S. PEREIRA (521200)
Bank Name: Rabobank, N.A.
Account: *****9566 - Checking Account
Blanket Bond: \$58,367,734.00 (per case limit)
Separate Bond: N/A

Taxpayer ID #: **-***0587
Period Ending: 10/16/19

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/07/18		Rabobank, N.A.	Bank and Technology Services Fee Adjustment	2600-000		-1,800.00	102,610.70
07/17/19	11030	JOHN S. PEREIRA	Dividend paid 100.00% on \$437.21, Trustee Expenses; Reference:	2200-000		437.21	102,173.49
07/17/19	11031	JOHN S. PEREIRA	Dividend paid 100.00% on \$8,969.57, Trustee Compensation; Reference:	2100-000		8,969.57	93,203.92
07/17/19	11032	G.E.M. AUCTION CORP.	Dividend paid 100.00% on \$500.00, Appraiser for Trustee Fees; Reference: Voided on 09/13/19	3711-001		500.00	92,703.92
07/17/19	11033	PEREIRA & SINISI, LLP	Dividend paid 100.00% on \$6,983.50, Attorney for Trustee Fees (Trustee Firm); Reference:	3110-000		6,983.50	85,720.42
07/17/19	11034	TROUTMAN SANDERS LLP	Dividend paid 100.00% on \$46,081.50, Attorney for Trustee Fees (Other Firm); Reference:	3210-000		46,081.50	39,638.92
07/17/19	11035	TROUTMAN SANDERS LLP	Dividend paid 100.00% on \$35.79, Attorney for Trustee Expenses (Other Firm); Reference:	3220-000		35.79	39,603.13
07/17/19	11036	LEONARD HARRIS, CPA	Dividend paid 100.00% on \$8,960.00, Accountant for Trustee Fees (Other Firm); Reference:	3410-000		8,960.00	30,643.13
07/17/19	11037	LEONARD HARRIS, CPA	Dividend paid 100.00% on \$40.88, Accountant for Trustee Expenses (Other Firm); Reference:	3420-000		40.88	30,602.25
07/17/19	11038	CONNECTICUT GENERAL LIFE INSURANCE COMPANY	Dividend paid 100.00% on \$21,631.59; Claim# 11; Filed: \$21,631.59; Reference: 1050	5400-000		21,631.59	8,970.66
07/17/19	11039	STATE OF NEW YORK DEPARTMENT OF LABOR	Dividend paid 6.71% on \$1,268.60; Claim# 10; Filed: \$1,268.60; Reference: ER# 56-62579 5	5800-000		85.24	8,885.42
07/17/19	11040	NYC DEPARTMENT OF FINANCE	Dividend paid 6.71% on \$100,575.00; Claim# 12; Filed: \$100,575.00; Reference: B&A CLAIM #: 11S-0124-NI	5800-000		6,758.23	2,127.19
07/17/19	11041	STATE OF NEW YORK DEPARTMENT OF LABOR	Dividend paid 6.71% on \$31,466.42; Claim# 13; Filed: \$31,466.42; Reference: ER# 56-62579 5	5800-000		2,114.42	12.77
07/17/19	11042	NYS DEPARTMENT OF TAXATION AND FINANCE	Dividend paid 6.71% on \$190.01; Claim# 18P; Filed: \$190.01; Reference: B-13-3850587-2	5800-000		12.77	0.00
09/13/19	11032	G.E.M. AUCTION CORP.	Dividend paid 100.00% on \$500.00, Appraiser for Trustee Fees; Reference: Voided: check issued on 07/17/19	3711-001		-500.00	500.00
09/13/19	11043	CLERK OF THE COURT	TURNOVER OF UNCLAIMED DIVIDENDS	3711-000		500.00	0.00

Subtotals : \$0.00 \$100,810.70

Form 2

Cash Receipts And Disbursements Record

Case Number: 11-12841 (JLG)
Case Name: WESTROCK ADVISORS, INC.

Trustee: JOHN S. PEREIRA (521200)
Bank Name: Rabobank, N.A.
Account: *****9566 - Checking Account
Blanket Bond: \$58,367,734.00 (per case limit)
Separate Bond: N/A

Taxpayer ID #: **-***0587
Period Ending: 10/16/19

1	2	3	4		5	6	7
Trans. Date	{Ref #} / Check #	Paid To / Received From	Description of Transaction	T-Code	Receipts \$	Disbursements \$	Checking Account Balance

ACCOUNT TOTALS	112,577.69	112,577.69	\$0.00
Less: Bank Transfers	112,577.69	0.00	
Subtotal	0.00	112,577.69	
Less: Payments to Debtors		0.00	
NET Receipts / Disbursements	\$0.00	\$112,577.69	

Net Receipts :	114,391.49
Net Estate :	\$114,391.49

	Net Receipts	Net Disbursements	Account Balances
TOTAL - ALL ACCOUNTS			
Checking # ****-*****62-	114,391.49	1,813.80	0.00
Checking # *****9566	0.00	112,577.69	0.00
	\$114,391.49	\$114,391.49	\$0.00